

FACTS

WHAT DOES THOR WEALTH MANAGEMENT, INC. DO WITH YOUR PERSONAL INFORMATION?

| | |
|--------------|--|
| Why? | Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do. |
| What? | <p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ■ Social Security number and assets ■ Account balances and retirement assets ■ Risk tolerance and <p>We use Google Analytics on our corporate website. Google Analytics mainly uses first-party cookies, data related to the device/browser, IP address and on-site/app activities to measure and report statistics about user interactions while on our site. Users may disable cookies or delete any individual cookie.</p> <p>Google Analytics also collects Internet Protocol (IP) addresses to provide and protect the security of the service, and to give us a sense of which country, state, or city in the world our users come from (also known as “IP geolocation”).</p> <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> |
| How? | All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons THOR chooses to share; and whether you can limit this sharing. |

| Reasons we can share your personal information | Does THOR Investment share? | Can you limit this sharing? |
|--|-----------------------------|-----------------------------|
| For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes | No |
| For our marketing purposes— to offer our products and services to you | Yes | No |
| For joint marketing with other financial companies | No | We don't share |
| For our affiliates' everyday business purposes— information about your transactions and experiences | No | We don't share |
| For our affiliates' everyday business purposes— information about your creditworthiness | No | We don't share |
| For our affiliates to market to you | No | We don't share |
| For nonaffiliates to market to you | Yes | Yes |

Questions?

Call 513-271-6777 or go to www.thorwealthmanagement.com

Who we are

Who is providing this notice?

THOR Investment Management, Inc.

What we do

How does THOR protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does THOR collect my personal information?

We collect your personal information, for example, when you

- open an account or deposit money
- seek investment advice or seek financial/tax advice
- tell us about your investment or retirement portfolio
- visit our corporate website

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *THOR has no affiliates*

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *Non-affiliates we share with can include marketing companies*

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *THOR does not jointly market*

Other important information